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Article in *International Journal of Cardiology* · March 2018

DOI: 10.1016/j.ijcard.2018.03.110

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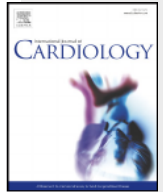
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Incidence and predictors of 6 months mortality after an acute heart failure event in rural Uganda: The Mbarara Heart Failure Registry (MAHFER)

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ARTICLE INFO

Article history:

Received 14 November 2017

Received in revised form 11 March 2018

Accepted 21 March 2018

Available online 29 March 2018

Keywords:

Acute heart failure

All-cause mortality

Sub-Saharan Africa

ABSTRACT

Objective: We sought to estimate the incidence and predictors of all-cause mortality 6 months after heart failure hospitalization in Uganda.

Methods: Mbarara Heart Failure Registry is a cohort of patients hospitalized with a clinical diagnosis of heart failure at Mbarara Regional Referral Hospital, Uganda. We measured serum electrolytes, cardiac markers, and echocardiograms. All participants were followed until death or end of 6 months. We used Fine and Gray models to estimate the incidence and predictors all-cause mortality.

Results: A total of 215 participants were enrolled, 141 (66%) were women, and mean age 53 (standard deviation 22) years. Nineteen (9%) had diabetes, 40 (19%) had HIV, and 119 (55%) had hypertension. The overall incidence of all-cause mortality was 3.58 (95% CI 2.92, 4.38) per 1000 person-days. Men had higher incidence of death compared to women (4.02 vs 3.37 per 1000 person-days). The incidence of all-cause mortality during hospitalization was almost twice that of in the community (27.5 vs 14.77 per 1000 person-days). In adjusted analysis, increasing age, NYHA class IV, decreasing renal function, smoking, each unit increase in serum levels of Potassium, BNP, and Creatine kinase-MB predicted increased incidence of 6 months all-cause death whereas taking beta-blockers and having an index admission on a weekend compared to a week day predicted survival.

Conclusions and interpretation: There is a high incidence of all-cause mortality occurring in-hospital among patients hospitalized with heart failure in rural Uganda. Heart failure directed therapies should be instituted to curb heart failure-related mortality.

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1. Introduction

Hospitalization with acute heart failure is an important cause of mortality in both resource rich and resource limited countries [1,2]. In USA, for example, in-hospital mortality following an acute heart failure hospitalization varies between 2.3 and 3.8% [3,4]. Though no data exists in resource limited countries for comparison, heart failure (HF) is a major cause of socioeconomic and public health burden worldwide [3], in US alone about 6 million people are living with HF [3,5,6] while in sub-Saharan Africa, HF accounts for up to 7% of all hospitalizations [7]. In resource rich settings, coronary artery disease, either alone or in combination with hypertension, is the leading cause of HF [8]. In

contrast, resource poor settings are undergoing an epidemiological shift from predominately non-ischemic etiologies of HF e.g., rheumatic heart disease and endemic cardiomyopathies [9,10] to ischemic etiologies as seen in resource rich settings [4,11].

However, epidemiological studies estimating mortality rates attributable to heart failure in sub-Saharan Africa are from specialized urban centers which are not generalizable to populations from these countries as the majority live in rural areas [9,12,13]. As such reports such as those of the sub-Saharan Africa Survey of Heart Failure (THESUS-HF) [9] study that reported a 18% 6-month mortality grossly underestimate the all-cause mortality rate among heart failure patients in sub-Saharan African populations.

In consequence, surveillance of the incidence of heart failure related mortality and etiologies are important for public health and identification of patients for prioritized emergency therapies. We aimed to determine the incidence and predictors of all-cause mortality 6 months after an acute heart failure hospitalization event in southwestern Uganda.

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2. Methods

2.1. Study population

Participants were from the ongoing Mbarara Heart Failure Registry (MAHFER), a longitudinal observational study on heart failure outcomes in southwestern Uganda ([ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT02721030) Identifier: NCT02721030). Briefly, patients aged 13 years or greater were consecutively enrolled into MAHFER from June 2015 to March 2017 within 24 h of hospitalization. The heart failure diagnosis for inclusion was based on the presence of clinical symptoms and signs of heart failure [14] to mirror routine care such our findings are generalizable to resource constraint settings where diagnostic tests are not readily available. Patients with an acute exacerbation of chronic kidney disease, chronic obstructive pulmonary disease, or acute liver disease with no features of heart failure were excluded. Participants were actively followed daily during hospitalization and every month post-hospital discharge until 6 months or death, whichever came first. Of note, participants were managed according to the discretion of the admitting team of physicians. Standardized protocols for management of heart failure are not currently in place at the Mbarara Regional Referral Hospital, as is the case in most resource poor settings where cardiology specialists are scarce.

The ethical review boards at the Mbarara University of Science and Technology, the University of Virginia Health System, and the Uganda National Council of Science and Technology approved the conduct of this study. All participants signed a written informed consent.

2.2. Data collection

We used standardized questionnaires to collect data on participant demographic information, past medical history (i.e., cardiovascular risk factors and co-morbid conditions), prior hospitalizations and discharge medications, New York heart association (NYHA) functional class, symptoms, vital signs and physical exam, acute cardiovascular-related and non-cardiovascular therapies, hospital course (i.e. in-hospital worsening HF and other adverse events) and outpatient course. The questionnaire also captured information on household asset ownership, smoking history (age of starting, duration and intensity of smoking and efforts to quit), history of diagnosis and/or management of cardiovascular disease or risk factors (hypertension, diabetes mellitus), and list of medications administered.

Pre-specified data collection was done during all days of the index hospital stay following study enrollment and monthly during outpatient visits following hospital discharge or until death within 6 months of enrollment. At each outpatient visit, study staff obtained updated medical and medication history, NYHA functional class, symptoms, vital signs, medication adherence, and interval events including hospitalizations.

Upon enrollment, a trained study nurse administered questionnaires to capture information on demographic data, socioeconomic status, history of chronic illnesses and smoking. We measured blood pressure (Panasonic EW 3109), body temperature, respiratory rate, and performed clinical examinations daily during hospital stay. In addition, a trained internist performed echocardiography (Philips HD7 XE Diagnostic ultrasound system, China) in the course of participants hospital stay. We categorized Left ventricular ejection fractions (LVEF) as reduced ($\leq 40\%$), midrange (41 to 49%), or preserved ($\geq 50\%$) [14].

A study nurse screened all participants for HIV as per national guidelines and on every other day, performed bedside testing of Brain natriuretic peptide (BNP), Creatine kinase (MB isomer) (CK-MB), and cardiac Troponin I using a point of care i-STAT® Abbott analyzer (Abbott Point of Care, Princeton, New Jersey, USA). In addition, a separate blood specimen was taken to laboratory to measure complete blood counts (Sysmex® XS-1000i, Japan), serum aminotransferases, and lipid profile (Humaster® 200, Germany). Laboratory tests were performed at Mbarara Regional Referral Hospital laboratory, which has standardized internal quality control protocols and participates in external quality control programs by the National Health Laboratory Service.

2.3. Statistical analysis

We described the population baseline demographic and clinical characteristics using descriptive statistics; proportions for categorical and binary variables, mean and standard deviation for normally distributed continuous variables, and median and interquartile range for non-normally distributed continuous variables.

We used principle component analysis to generate assets index scores based on household utilities and characteristics such as durable asset ownership, household characteristics, utilities, highest education attained, occupation, and goods sold, [15] to derive composite socioeconomic status measures with highest discriminatory capabilities [16]. Participants were divided into quintiles of assets index scores (poorest, poor, average, rich, and richest).

We used a-priori knowledge to select potential factors: age, gender, smoking, New York heart association (NYHA) functional class, Left ventricular ejection fractions, serum sodium, BUN, creatinine, BNP, systolic blood pressure, that were postulated to be predictors of all-cause mortality within 6 months of hospitalization with acute heart failure.

We used the Fine and Gray modeling to estimate the standardized hazard and predictors of 6 months all-cause mortality. The competing risk of death models accounted for loss to follow-up before death as a separate event so as to minimize overestimation of

risk [17]. All analyses were performed with STATA® Statistical Software version 15 (StataCorp LP, College Station, Texas, USA).

3. Results

We screened 396 consecutive patients and enrolled 217 participants between June 1, 2015, and March 28, 2017. Of those enrolled, 2 participants were excluded after they were found to have chronic obstructive pulmonary disease and chronic kidney disease with no evidence of heart failure. Of those enrolled in the study, by end of 6 months of follow-up, 16 (7%) participants were lost to follow-up.

The mean age was 53 years (standard deviation of 21.71), females constituted 65.58% of total sample probably because of their better health seeking behavior [18,19]. Comorbid conditions were hypertension in 119 (55.35%), diabetes mellitus in 19 (8.84%), and human immunodeficiency virus (HIV) in 40 (18.60%). A total of 21 (9.77%) had self-reported current history of smoking (Table 1).

A total of 93 (43%) participants died within 6 months from time of enrollment representing an all-cause mortality incidence rate of 3.58 (95% CI 2.92, 4.38) per 1000 person-days. Among the deaths, 44 (47.3%) occurred in-hospital and 49 (52.7%) occurred in the community (Fig. 1). Among the 44 who died during hospitalization, 8 (18%) died within 48 h of hospitalization, 11 (25%) between 3 and 7 days, and 25 (57%) after a week of hospitalization.

Of those dead, 34 were men representing a gender-adjusted incidence rate of 4.02 (95% CI 2.87, 5.62) per 1000 person-days whereas 59 were women thus an incidence rate of 3.37 (95% CI 2.61, 4.34) per 1000 person-days. Among men, we observed an increase in incidence of mortality with increasing age i.e., in the age group <35 year the age- and gender-adjusted incidence of mortality was 3.12 (95% CI 1.62, 5.99) per 1000 person-days, in the age group 35 to 55 years the age- and gender-adjusted incidence of mortality was 4.28 (95% CI 2.30, 7.96) per 1000 person-days, and in the age group >55 years the age- and gender-adjusted incidence of mortality was 4.63 (95% CI 2.79, 7.68) per 1000 person-days.

The incidence of mortality was generally increased with age (Fig. 1), i.e., age-adjusted in-hospital incidence of mortality of 16.89 (95% CI 9.36, 30.51) per 1000 person-days for those in aged 35 years or less, 21.78 (95% CI 12.37, 38.35) per 1000 person-days for those aged between 35 and 55 years, and 52.76 (95% CI 34.40, 80.92) per 1000 person-days for those aged 55 years or greater. Incidence of mortality was lower in the community across age groups (incidence of mortality of 13.39) (95% CI 7.77, 23.06) per 1000 person-days in age group 35 years or less, 11.81 (95% CI 6.71, 20.80) per 1000 person-days in ages between 35 and 55 year and 18.04 (95% CI 12.06, 26.92) per 1000 person-days for those aged 55 years or greater (Fig. 1).

In the adjusted analysis, the cumulative incidence of all-cause was higher among current smokers compared to never smokers (sub-distribution Hazard ratio (ASHR) 7.23, 95% CI 1.40, 37.26, $p = 0.01$), higher with decreasing estimated glomerular filtration rate (eGFR) (p for linear trend 0.001). We found the adjusted estimated sub-distribution Hazard Ratio and associated 95% confidence intervals were 2.66 (1.74 to 4.06, $p < 0.0001$) for a 1-mg/dL increase in serum potassium, 1.00 (1.00 to 1.00, $p = 0.04$) for a 1-pg/mL increase in BNP, higher for every 1-pg/mL increase in CKMB (ASHR 1.05, 95% CI 1.01, 1.10, $p = 0.01$). Also a 1-mg/dL increase in hemoglobin predicted an increase in the incidence of all-cause death (ASHR 1.01, 95% CI 1.00, 1.02, $p = 0.01$) (Table 2).

In contrast, taking beta-blocker medication compared to not and having an index admission on a weekend compared to those admitted on a week day predicted surviving until 6 months (end of follow up).

4. Discussion

To the best of our knowledge, this is the first quantification of the 6 months mortality among heart failure patients in a general hospital in

Table 1
Baseline characteristics of participants, MAHFER study 2017.

Characteristic	N = 215
Demographics	
Age (in years), mean (\pm sd)	53 (21.71)
Women, n (%)	141 (65.58)
Risk factors	
Smoking, never, n (%)	170 (79.07)
Former, n (%)	24 (11.16)
Current, n (%)	21 (9.77)
Hypertension, n (%)	119 (55.35)
Diabetes, n (%)	19 (8.84)
HIV infected, n (%)	40 (18.60)
Clinical features	
De novo heart failure, n (%)	83 (38.60)
Decompensated chronic heart failure, n (%)	132 (61.40)
Acute heart failure with cardiogenic shock, n (%)	40 (18.60)
Acute heart failure with congestion, n (%)	195 (90.70)
Blood tests (at baseline i.e., time of admission) with normal ranges	
Hemoglobin (12, 17 g/dL), mean (\pm sd)	13.06 (8.98)
Total cholesterol (5, 220 mg/dL), mean (\pm sd)	109.80 (43.96)
Low-density lipoprotein (50, 17 mg/dL), mean (\pm sd)	74.67 (35.75)
High-density lipoprotein (35, 130 mg/dL), mean (\pm sd)	28.61 (14.40)
Triglyceride (150, 250 mg/dL), mean (\pm sd)	109.78 (62.37)
Creatinine (0.60, 1.10 mg/dL), mean (\pm sd)	3.63 (6.40)
Sodium (135, 145 mmol/L), mean (\pm sd)	131.95 (14.96)
Blood urea nitrogen (10, 50 mg/dL), mean (\pm sd)	85.94 (139.4)
Potassium (3.5, 5.5 mmol/L), mean (\pm sd)	4.61 (1.34)
Creatine kinase-MB (0, 4.9 ng/mL), mean (\pm sd)	4.46 (10.32)
Troponin I (<0.01 ng/mL), mean (\pm sd)	0.07 (0.42)
Brain natriuretic peptide (<100 pg/mL), mean (\pm sd)	2191.20 (1769.30)
Vital signs	
Systolic blood pressure (mm Hg), mean (\pm sd)	122 (29.37)
Diastolic blood pressure (mm Hg), mean (\pm sd)	78.56 (21.26)
NYHA ^V class III, n (%)	93 (43.26)
NYHA ^V class IV, n (%)	112 (52.09)
Echocardiogram and electrocardiograph features	
LVEF [*] , mean (\pm sd)	40.92 (15.30)
LVDd [‡] , mean (\pm sd)	5.7 (4.97)
LA [‡] , mean (\pm sd)	4.2 (1.11)
Medication use prior to admission	
Self-reported poor adherence to medication	128 (59.53)
ACEI/ARBs, n (%)	50 (23.26)
Beta-blockers, n (%)	39 (18.14)
Furosemide, n (%)	85 (39.53)
HMG CoA inhibitors, n (%)	4 (1.86)
Digoxin, n (%)	30 (13.95)
Antiplatelet agents, n (%)	38 (17.67)
Medication use during hospital stay	
Oxygen therapy, n (%)	29 (13.49)
Inotropic agents, n (%)	22 (10.23)
Furosemide, n (%)	195 (90.70)
ACEI/ARBs, n (%)	59 (27.44)
Beta-blockers, n (%)	41 (19.07)
HMG CoA inhibitors, n (%)	7 (3.26)
Digoxin, n (%)	52 (24.19)
Antiplatelet agents, n (%)	74 (34.42)

SD: standard deviation; NYHA^V: New York Heart Association; LVEF^{*}: left ventricular Ejection fraction; LVDd[‡]: left ventricular diameter during diastole; LA[‡]: left atrium; HMG: hydroxy-methylglutaryl-coenzyme reductase inhibitor; ACEI/ARB[‡]: angiotensin converting enzyme inhibitor/angiotensin receptor blocker.

sub-Saharan Africa. We found a substantially high cumulative incidence of all-cause mortality within 6 months following hospitalization for an acute heart failure event in a regional hospital in rural southwestern Uganda. More so, the incidence of mortality was higher in the community after discharge from hospital than during in hospital period. This highlights the underreporting of the actual mortality rates in resource constraint countries since enumeration of deaths occurring in adulthood stops in health care centers [12,20,21]. Also, compared to other

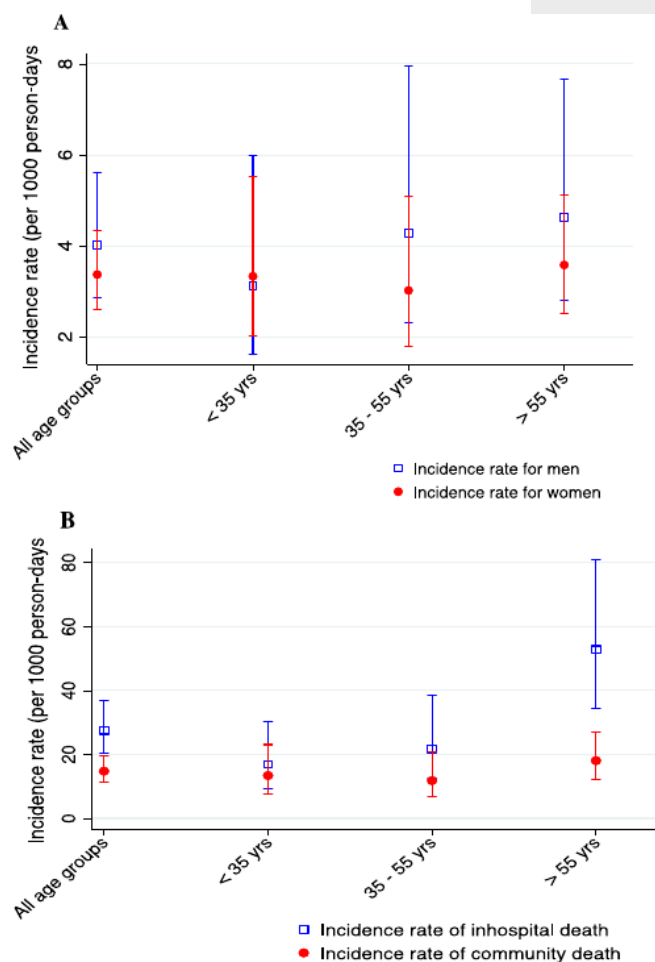


Fig. 1. Incidence rate of all-cause mortality (per 1000 person-days) by gender (panel A) and place of death (panel B).

prior studies from sub-Saharan Africa, we found a higher incidence of in-hospital mortality. This maybe attributable to the fact that prior studies were carried out in highly specialized cardiac centers with superior diagnostic, therapeutic, and human resources thus the lower in-hospital mortality rate while the current study was performed in general medicine ward of a regional hospital which is generalizable to the level of care where majority of heart failure patients seek care in low income countries such as Uganda [13,21]. Taken together, this finding expounds on the existing literature on all-cause mortality among heart failure patients in sub-Saharan Africa that, until now, reported only deaths occurring in hospitals, as such reported lower incidence of death associated with acute heart failure [12,13,20,21].

We found that for every 1-pg/mL increase in BNP and CKMB predicted all-cause mortality within 6 months, similarly BNP as a predictor of mortality has been established from several studies [22–24]. However, there are no similar studies from sub-Saharan Africa for comparison of these results. In addition, we found that the cumulative incidence death among participants with New York heart association (NYHA) functional class IV at time of hospitalization was higher than that of participants with NYHA class III as has been shown by others [13,25]. This could be explained by the late presentation with advanced heart failure class given the long distances travelled to reach health facilities as well as time delays in lower level centers where diagnostic and therapeutic expertise is limited [26,27]. Similarly, we found that deteriorating renal function (reduced estimated glomerular filtration) is an independent predictor of mortality among acute heart failure patients, as expected given the reducing systolic function [9,28–30]. As in other

Table 2
Standardized hazard ratios of all-cause mortality, MAHFER Study 2017.

Variable	Unadjusted standardized hazard ratios (95% CI)	p-Value	Adjusted standardized hazard ratios (95% CI)	p-Value
Age, each year increase	0.99 (0.99, 1.00)	0.076	0.99 (0.99, 0.99)	0.001
Men	1.16 (0.76, 1.76)	0.494	0.35 (0.06, 2.03)	0.245
Women	Ref	Ref	Ref	Ref
Asset index				
Poorest	0.74 (0.36, 1.50)	0.403	0.14 (0.04, 0.55)	0.005
Poorer	1.31 (0.67, 2.57)	0.423	0.08 (0.03, 0.23)	0.0001
Average	Ref	Ref	Ref	Ref
Rich	0.97 (0.48, 1.93)	0.921	0.71 (0.11, 4.78)	0.730
Richest	1.03 (0.51, 2.07)	0.939	0.12 (0.02, 0.60)	0.010
History of smoking				
Never smoker	Ref	Ref	Ref	Ref
Former smoker	0.92 (0.50, 1.69)	0.782	0.99 (0.52, 1.90)	0.999
Current smoker	1.87 (0.93, 3.76)	0.080	7.23 (1.40, 37.26)	0.018
HIV infection	1.09 (0.67, 1.76)	0.738	1.16 (0.29, 4.55)	0.831
History of hypertension	0.74 (0.49, 1.11)	0.146	0.79 (0.38, 1.67)	0.540
History of diabetes mellitus	0.83 (0.37, 1.83)	0.640	0.94 (0.35, 2.54)	0.901
<i>Functional status</i>				
NYHA ^v class III	Ref	Ref	Ref	Ref
NYHA class IV	2.11 (1.38, 3.23)	0.001	2.52 (1.24, 5.12)	0.011
Six minute walk, (per meter)	1.00 (0.99, 1.00)	0.924	0.99 (0.99, 1.00)	0.697
<i>Left ventricular ejection fraction (LVEF)</i>				
Reduced LVEF ($\leq 40\%$)	1.04 (0.63, 1.72)	0.885	1.10 (0.48, 2.51)	0.813
Midrange LVEF (41 to 49%)	Ref	Ref	Ref	Ref
Preserved LVEF ($\geq 50\%$)	0.75 (0.39, 1.44)	0.385	0.66 (0.28, 1.57)	0.347
<i>Estimated GFR^c stage</i>				
GFR stage 1	Ref	Ref	Ref	Ref
GFR stage 2	0.97 (0.53, 1.80)	0.929	1.34 (0.41, 4.39)	0.626
GFR stage 3	1.86 (1.00, 3.47)	0.051	2.79 (1.42, 5.47)	0.003
GFR stage 4	2.83 (1.01, 7.90)	0.048	3.54 (1.04, 1.21)	0.000
GFR stage 5	2.53 (1.49, 4.29)	0.001	3.12 (1.14, 8.55)	0.027
<i>Timing of index admission</i>				
Week day admission (Monday–Thursday)	Ref	Ref	Ref	Ref
Weekend admission (Friday–Sunday)	0.89 (0.47, 1.71)	0.734	0.17 (0.05, 0.49)	0.001
<i>Baseline blood tests (each unit increase)</i>				
Hemoglobin (g/dL)	1.01 (0.98, 1.02)	0.604	1.01 (1.00, 1.02)	0.018
Potassium (mmol/L)	1.29 (1.10, 1.51)	0.002	2.66 (1.74, 4.06)	0.0001
BUN ^e (mg/dL)	1.00 (1.00, 1.00)	0.006	1.00 (0.99, 1.01)	0.368
Sodium (mmol/L)	0.99 (0.98, 1.00)	0.212	0.98 (0.97, 1.00)	0.071
BNP ^o (pg/mL)	1.00 (1.00, 1.00)	0.006	1.00 (1.00, 1.00)	0.044
Troponin I (ng/mL)	1.60 (1.31, 1.94)	0.0001	6.71 (1.25, 35.98)	0.132
Creatine-kinase (MB isomer) (ng/mL)	1.05 (1.04, 1.06)	0.0001	1.05 (1.01, 1.10)	0.013
<i>Medications prior to admission</i>				
ACEI or ARB ^a	1.00 (0.58, 1.70)	0.988	0.38 (0.13, 1.08)	0.069
Beta-blocker	1.01 (0.57, 1.78)	0.985	0.23 (0.10, 0.56)	0.001
Frusemide	0.95 (0.55, 1.63)	0.841	3.45 (0.73, 16.26)	0.117
HMG ^s CoA inhibitors	0.52 (0.06, 4.21)	0.541	1.72 (0.76, 3.85)	0.190
Digoxin	0.84 (0.42, 1.67)	0.623	1.03 (0.32, 3.29)	0.954
Antiplatelet agents	0.65 (0.35, 1.18)	0.159	0.54 (0.25, 1.16)	0.113
<i>Self-reported medication adherence</i>				
Good adherence	Ref	Ref	Ref	Ref
Poor adherence	0.84 (0.41, 1.73)	0.645	0.83 (0.25, 2.74)	0.758

NYHA^v: New York Heart Association; GFR^c: estimated glomerular filtration rate; BUN^e: blood urea nitrogen; BNP^o: brain natriuretic peptide; ACEI/ARB^a: angiotensin converting enzyme inhibitor/angiotensin receptor blocker.

studies, taking beta-blocker medication compared to not predicted survival up to 6 months [31,32].

Surprisingly, there was a U-shaped relation between socioeconomic status (SES) and incidence of mortality i.e., those in the richest and poorest SES groups were associated with better prognosis. It is plausible that this is a reflection of the ability of the richest to buy lifesaving medications since therapy is mainly financed out of pocket [33]. However, those in the poorer SES group tended to be coming from the furthest locations and as such fewer made it to the hospital thereby their effect was diluted by the other more prevalent SES groups. Future studies evaluating these relationships are encouraged.

In contrast, having an index admission on a weekend compared to those admitted on a week day predicted survival up to 6 months,

contrary to other reports that weekend admission maybe associated with high mortality [34,35] as a result of limited human resource.

The strength of the present study is that it is among the first general hospital-based study conducted in sub-Saharan Africa with follow-up of participants into the community. We were unable to adjudicate the immediate causes of death as this would have shade light on the heart failure related conditions directly leading to death. However, it is logistically difficult to identify these conditions in the study setting due to limitations with autopsy. Also, we are unable to elucidate the different underlying etiologies of heart failure or further characterize the participants with tests such as blood lactate, arterial oxygen saturation, D-dimer, and thyroid function tests among others.

In conclusion, there is a high incidence of 6 months all-cause mortality following hospitalization for an acute heart failure event in rural Uganda. Predictors of mortality include increasing age, NYHA class IV, decreasing renal function, smoking, each unit increase in serum levels of Potassium, BNP, and Creatine kinase-MB whereas taking beta-blockers and having an index admission on a weekend compared to a week day decreased mortality risk. More heart failure directed therapies such as beta-blockers should be instituted for heart failure management to reduce mortality.

Disclosure of previous presentations

None.

Sources of funding

This study was supported by Abbott Point of Care, Inc. and Ruth C. and Henry F. Dunbar Cardiology Research endowment fund at the Cardiovascular Division University of Virginia Health System. The funders had no role in study design, conduct, data analysis, or production of manuscript.

Acknowledgments

The authors would like to thank the MAHFER study staff and patients at Mbarara Regional Referral Hospital.

Author contributions

FCAW, AJB, and BHA, SO conceptualized and designed this study; FCAW, BLE, SJA, and SO, collected data; FCAW, JSA, BLE, BHA, AJB, and SO analyzed, interpreted data, wrote and approved the manuscript.

Conflict of interest

The authors report no relationships that could be construed as a conflict of interest.

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