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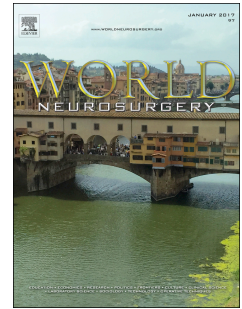
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Mechanism of Pediatric Traumatic Brain Injury in Southwestern Uganda: a Prospective Cohort of 100 patients

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1 ABSTRACT**2 Background**

3 RTIs, falls and violence contribute to more than two thirds of pediatric TBIs in SSA. In this
4 study, we sought to assess mechanisms of pediatric TBI in an effort to propose interventions for
5 more effective pediatric head injury prevention.

6 Methods

7 A cohort of 100 patients who were <18 years treated at MRRH between November 2016 and
8 June 2017 were enrolled in the study. Information on etiology of injury was obtained via a
9 questionnaire administered to patient caretakers at the time of admission.

10 Results

11 The mean age was found to be 7.5 years (SD 5.2) and 38% were female. In our sample, 61% had
12 CT imaging done, of which 88.5% had a positive finding. A majority of patients presented with a
13 mild head injury (55%). RTIs were the predominant mechanism of injury across age groups
14 (75%). Across all age groups, falls were responsible for a greater proportion of injuries in
15 children aged 10-14 years (13.3%), while the highest proportion of intentional injuries was
16 reported in age group 10-14 and 15-17 years, 20% and 31.3%, respectively. Patients involved in
17 pedestrian RTIs were significantly younger compared to those injured in non-pedestrian RTIs.
18 Most parents (87.9%) were not with their children at the time of a pedestrian RTI.

19 Conclusion

20 In Southwestern Uganda, the majority of pediatric neurotrauma patients are injured pedestrians,
21 with no adult supervision at the time of the injury. Conducting a public awareness and education
22 campaign on the necessity of child supervision is critical to decreasing pediatric head injuries in
23 Uganda.

24

25 INTRODUCTION

26 Traumatic brain injury (TBI) is the leading cause of death and disability in children and young
27 adults around the world.¹ Moreover, TBIs are involved in nearly half of all trauma deaths, and
28 account for more than 10% of total global disability adjusted life years. TBI incidence is on the
29 rise due to increased use of motor vehicles—especially in low and middle income
30 countries—which results in road traffic incidents (RTIs).² While the current incidence in Europe
31 is 235 per 100,000 per year, incidence is thought to be even higher in Africa, although reliable
32 data is not available for the entirety of the region.²

33 Among all children, head trauma, most commonly as a result of RTIs, stands out as the leading
34 cause of long term disability, affecting both motor and cognitive abilities.²⁻⁴ This subsequently
35 negatively affects a child's educational performance and lifelong access to opportunities that
36 stem from that education. Oftentimes, the poorest children are those that are the most negatively
37 affected. Children from a low socioeconomic status are more likely to be street hawkers as well
38 as walk and play at roadsides or man roadside shops.⁵

39 The mechanism of pediatric head trauma varies across geographic regions. For instance, in the
40 United States, falls constitute the most frequent mechanism for children aged under 12 years,
41 while adolescents are more prone to assaults, RTIs and sports injuries.⁵ In a cohort from Nepal,
42 falls were the most common causes of pediatric head injuries, followed by RTIs, most of which
43 involved pedestrians hit by vehicles.⁶ In Taiwan and Iran, the most common etiology was RTIs,
44 followed up falls and assaults.^{7,8} Data on the mechanism of pediatric head trauma in low- and
45 middle-income countries (LMICs) is severely lacking. In fact, only one study from Nigeria
46 addressed the mechanism and outcomes of pediatric head trauma in sub-Saharan Africa (SSA),
47 concluding that RTIs accounted for more than two thirds of all pediatric head trauma, followed
48 by falls and violence.⁵ In adult studies from Uganda, TBI was determined to be the predominant
49 cause of neurosurgical admissions and deaths, accounting for 87% of all neurosurgical
50 admissions and resulting in an overall mortality rate of 13%.^{9,10}

51 In order to create and institute preventive public health interventions to decrease the incidence,
52 morbidity and mortality of pediatric head injuries in Uganda, a better understanding of why these
53 injuries are happening is necessary. By interviewing the mothers of pediatric patients presenting
54 to Mbarara Regional Referral Hospital (MRRH) with head injury, we sought to assess

55 mechanisms of pediatric head injuries presenting to this referral hospital and their associations
56 with patient outcomes in an effort to propose interventions for more effective pediatric head
57 injury prevention.

58

59 **METHODS**

60 Study Population and Setting

61 The study was conducted at MRRH, one of the 14 government referral hospitals in Uganda, and
62 the only one serving Mbarara District.¹¹ The majority of the population of Mbarara District is
63 less than 40 years of age (84%) – 29% are the below the age of 10, 23% between the ages of 10
64 and 19, and 31% between the ages of 20 and 39 years.¹² The hospital serves a population of over
65 four million people in Southwestern Uganda, and has a capacity of 600 beds, 8 ICU beds.^{13,14}
66 The staff consists of 410 persons, only one of whom is a neurosurgeon.^{13,15} Within Southwestern
67 Uganda, Mbarara Regional Referral Hospital is the only hospital with a staff neurosurgeon.¹⁵ At
68 MRRH, all neurological patients are admitted either through the accident & emergency
69 department (A&E) or through the neurosurgical outpatient department (OPD).

70 Study Design

71 We carried out a prospective cohort study, which captured the first 100 pediatric head trauma
72 patients <18 years of age who presented MRRH between November 2016 and June 2017. This
73 study was nested inside a larger MRRH Neurological Database study. The database captures
74 variables on patient demographics, patient risk factors, diagnostic and laboratory results,
75 management, delays in care, complications and outcomes. Information on etiology of injury was
76 obtained via a questionnaire administered to patient caretakers at the time of admission. Injury
77 etiology was stratified into several causes – road traffic incidents (RTI), falls, sports injuries,
78 non-intentional trauma, defined as accidental trauma that is preventable, and intentional trauma,
79 more commonly referred to as assault.¹⁶

80 Patient Selection

81 All pediatric patients (<18 years of age) admitted to the neurosurgical ward through A&E or
82 OPD for head trauma were included in this study regardless of whether they are managed

83 medically or surgically. This also includes patients who are admitted to the A&E department and
84 subsequently discharged there with CT done or pending.

85 Data Collection

86 All data on the mechanism of injury was gathered via direct interaction between the patient
87 caregiver and the researcher or the trained research assistant (MP, MI). Additional data,
88 including demographics, user/provider delays as well as clinical data on the patient
89 comorbidities, physical exam assessment, and diagnostic results were reviewed and documented
90 on standardized templates by research assistants and subsequently entered into a password
91 protected database, stored on researchers' encrypted devices and then uploaded to a HIPAA-
92 compliant Duke surgery servers.

93 Data Analysis

94 Variables from the data collection tools were entered into the REDCap (Research Electronic
95 Data Capture, <https://projectredcap.org/>) platform and analyzed using Stata software version
96 14.0. Descriptive statistics were used to calculate the patient demographics, injury etiology,
97 injury severity, CT findings, patient treatment and outcome data for all patients. Association
98 between injury etiology and mortality in pediatric TBI patients were determined using Mantel-
99 Haenszel odds ratios. Variables found with a significant association with the outcome of interest
100 with a p-value of <0.05 were considered statistically significant.

101 Ethical Approval

102 Ethical approval was obtained from Mbarara University of Science and Technology Research
103 Ethics Committee (Protocol No. 160130) and the Duke University Health System Institutional
104 Review Board (Protocol No. 00069190).

105

106 **RESULTS**

107 Demographics, Clinical Characteristics and Disposition

108 A total of 100 patients were included in the study. The mean age was found to be 7.5 years (SD
109 5.2) with 38% of the patient population being female. When stratified by age group, the gender
110 ratio was 1M:1F in patients in age groups 0-4 years and 5-9 years. Among patients in age groups

111 10-14 and 15-17, the ratio was 6.5M:1F (**Figure 1**). The vast majority of patients presented with
112 mild (55%) and moderate head injury (30%), while 11% presented with severe injury (GCS \leq
113 8) and data on GCS was missing for 4% of the patients. In our sample, 61% had CT imaging
114 done, of which 88.5% had a positive finding. A total of 24 patients had multiple CT findings.
115 The majority of CT scan findings were skull fractures (38.5% of all CT findings), and contusions
116 (30.8%). Other findings included subdural hematoma (SDH) (6.4%), epidural hematoma (EDH)
117 (9.0%), intraventricular hemorrhage (IVH) (2.6%), subarachnoid hemorrhage (SAH) (1.3%),
118 pneumocephalus (3.7%), and brain edema (7.7%). Most lesions were small and did not
119 necessitate surgical intervention. A total of 8 patients underwent neurosurgical intervention, with
120 the most common procedure being skull fracture elevation and/or duroplasty. Mortality among
121 the patient cohort was 4%, with 1 patient initially presenting with mild head, 2 with moderate
122 and 1 with severe head injury. Further details are depicted in **Table 1**.

123 Mechanism of Injury

124 RTIs represented the most common mechanism of head injury. 75% of all patients and 70% of
125 children aged 2 years or less suffered a head injury secondary to this mechanism. Other causes of
126 head injury were intentional injury (10%), fall (6%), non-intentional injury (5%) and sports
127 injury (2%). We were not able to identify the cause of injury for two patients. Further details are
128 provided in **Table 2**.

129 When assessing mechanism of injury by age group, RTIs predominated across all age groups. In
130 age group 0-2 years, RTIs were responsible for 70% of TBIs, and non-intentional and intentional
131 injuries combined were responsible for around 30% of TBIs. A similar statistic was noted in the
132 age group 3-9 years old, where RTIs is were responsible for 83.93% of TBIs; however, falls
133 were responsible for a greater proportion of injuries in this age group (7.14%), intentional
134 injuries accounted for 4% while non-intentional injuries made up 1.79%. In older children, age
135 groups 10-14 and 15-17 years, RTIs were still the most common cause of injury. Nevertheless,
136 these groups had the highest proportion of intentional injuries, 20% and 31.3% in age groups 10-
137 14 and 15-17 years, respectively. Falls were responsible for 13.3% of TBIs in age group 10-14
138 years, but no falls were reported in age group 15-17 years. **Figure 2** provides further details.

139 Interviewees reported that the majority of injuries took place on the street (60%), while 22% took
140 place at home. With regards to location of the child's caregiver at the time of the injury, the

141 caregiver was with the child at the time of injury in 12% of the cases, while they were home
142 when the injury occurred in 52% of the cases. **Table 2** contains further information on location
143 of parents or guardians during the patient's head injury.

144 Pedestrian Injuries

145 Of those injured in RTIs, 79% were pedestrians, 12% were motorcycle passengers and others
146 were cyclists or car passengers (**Figure 3**). The mean age of patients involved in pedestrian RTIs
147 was significantly younger compared to those injured in non-pedestrian RTIs - 5.9 years and 10.7
148 years, respectively ($p < 0.001$, **Figure 4**). Most parents (87.9% of all pedestrian injuries) were not
149 with their children at the time of a pedestrian RTI (**Figure 3**). When the severe outcome was
150 defined as death or need for neurosurgical intervention, all patients who suffered a head injury
151 secondary to an RTI were found to be pedestrians ($p = 0.083$).

152

153 **DISCUSSION**

154 Around the world, traumatic brain injury is the leading cause of death and disability among
155 children.¹ The rise in urbanization across low and middle income countries has further led to a
156 significant increase in trauma across those nations.² In an effort to seek solutions for combating
157 the rise of pediatric head injuries in developing countries, this study sought to determine the
158 primary causes of these injuries and to study the circumstances around which these incidents
159 occurred. This prospective study used caregiver interviews in combination with hospital
160 encounter data to report the mechanism and outcomes of TBI in children under 18 years of age
161 who were admitted to a regional referral hospital in Mbarara, Uganda. Only a minority of
162 children presented with severe head injury and more than half presented with mild head injury.
163 The vast majority of patients with a CT scan had a positive finding, with the main findings were
164 skull fracture and contusion. Most injuries were secondary to RTIs with most victims,
165 particularly those of younger ages, being pedestrians. In only a few cases, caregivers were
166 present at the time of the child's injury.

167 The distribution of patient ages, genders and severity of injury found within our patient cohort
168 was similar to those reported in previously published literature. We noted a bimodal peak in
169 patient age distributions, with patients under 5 years and those >15 years suffering the greatest

170 number of head injuries. Younger children have been noted to be more susceptible to head
171 injuries due to their larger head sizes, weaker neck musculature and support and thinner
172 calvarium.¹⁷ Meanwhile, older pediatric patients tend to suffer from increased head injuries
173 secondary to RTIs and non-incident trauma.¹⁸

174 The majority of the patients in our study, especially those 10 years of age and older, were males
175 which is similar to previously reported frequencies.¹⁹ Males' propensity for risky behaviors and
176 tendency to start manual work in adolescence could explain this finding. However, the gender
177 ratio was equivalent for patients under 10 years of age. Given most patients of that age group
178 were found to be injured when walking to/from school and the population of Mbarara district has
179 an equivalent sex ratio and is nearly uniformly split in terms of a rural vs urban population, this
180 finding could potentially suggest children in southwestern Ugandan attend primary school in
181 equal proportion. However, the questionnaire used for this study did not capture the location of
182 patient injuries. If most injuries among children < 10 years of age are occurring in urban
183 settlements, then we cannot subsequently extrapolate the same is true for rural areas. Thus,
184 further studies are useful to further assess this association.

185 Similar to findings from Nepal who reported 65% patients with mild head injuries, we found that
186 55% of our patient cohort suffered from mild head injuries.¹⁹ Meanwhile, the low incidence of
187 severe head injuries seen within our study is representation of findings in the current literature.²⁰
188 It is possible that absence of transportation, low socioeconomic status or lack of prehospital care
189 could be contributing to the lower cases of severe head injury in our population, as these children
190 probably die before reaching the hospital.²¹

191 Beyond understanding the epidemiology of the pediatric patient population who suffers head
192 injuries, recognizing the conditions and circumstances under which these instances occur is a
193 vital step for creating future interventions to decrease the rate of TBI. Similar to previous studies,
194 this study found that while RTIs dominated as the leading cause of head injuries in children,²²
195 more significantly, most children who suffered a head injury secondary to an RTI in our cohort
196 were pedestrians. Similarly, a hospital based study from the United States previously reported a
197 high incidence of pedestrian RTIs, although no such data has previously been reported from
198 LMICs.²³ Interestingly, in that study, the highest incidence of pedestrian RTIs occurred among
199 children aged 6-10 years.^{23,24} Within our study, the highest incidence was seen among children

200 under 5 years; however, the incidence continued to be almost similarly high in children aged 5-9
201 years. Perhaps the most striking finding within our study was that almost 90% of children
202 involved in pedestrian RTIs were not with a parent or guardian at the time of the incident and
203 pedestrian RTIs were more likely to suffer an unfavorable outcome.

204 Lack of adult supervision of children is a major risk factor for burns and other childhood
205 injuries.²⁵ However, lack of child supervision is particularly pronounced in LMICs where
206 children often walk to school without their adult guardians, perhaps accompanied by only a
207 slightly older sibling. Children may also play on the sides of streets or busy marketplaces
208 unsupervised while their guardians are busy at work. This study demonstrates that the majority
209 of our pediatric patient population presenting with head injuries are young unsupervised
210 pedestrians. Increasing supervision may be a method for increasing pedestrian safety. Barton, et
211 al. has demonstrated that children behave more cautiously when crossing the street supervised.²⁶
212 Studies by psychologists have shown that children judge their physical abilities more cautiously
213 when parents are present.²⁷ The findings suggest supervising parents or adults could intervene to
214 prevent children from attempting dangerous activities given that children are more likely to
215 overestimate their abilities than parents.²⁷ Future studies should be geared towards implementing
216 such interventions in the region and assessing their impact.

217 Given the findings of our study, increasing public awareness on the increased risk of pedestrian
218 RTI head injuries among unsupervised children is necessary. Public awareness and education
219 campaigns have been shown to improve outcomes in other LMICs. In Mexico, an awareness
220 campaign in conjunction with a law enforcement campaign targeting seat belt and child restraint
221 use led to a reduction in road traffic collisions.²⁸ Another study from Brazil which carried out
222 educational training in health centers and schools as well as conducted a public awareness
223 campaign with media distribution of videos, souvenirs and pamphlets, resulted in a 26% decrease
224 in mortality as well moderate and severe trauma secondary to RTIs.^{28,29} Thus, conducting a
225 public awareness and education campaign on the necessity of child supervision is critical for
226 decreasing pediatric head injuries in Uganda.

227 *Limitations*

228 There are several limitations to our study. Most notably, we obtained data from a sample size of
229 only 100 pediatric patients, thus making it difficult to determine whether our findings over- or

230 underestimated the frequencies of various injury mechanisms. Moreover, this study was limited
231 to one referral hospital located in Southwestern Uganda, thus making it difficult to extrapolate
232 the conclusions made to other populations that are more urbanized or more rural. However, it is
233 important to note that all data was collected prospectively, either from patient charts during
234 admission or from patient caretakers.

235

236 **CONCLUSION**

237 This was the first prospective study from an LMIC to investigate the circumstances surrounding
238 pediatric head injury. We found that in Southwestern Uganda, most pediatric head injuries occur
239 secondary to RTIs with most victims, particularly those of younger ages, being pedestrians. In
240 most cases, caregivers were notably absent at the time of the child's injury. Increasing pediatric
241 supervision is a necessary step to decrease the incidence of pediatric head injuries in Uganda.

242

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248

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- 327

Table 1. Patient characteristics, patient demographics and clinical outcomes

Patient age, mean (SD)	7.5 (5.19)
Gender (females), n (% of patients)	38 (38%)
CT of the brain performed, n (% of patients)	61 (61%)
Admission GCS	100 (100%)
13-15	55 (55%)
9-12	30 (30%)
3-8	11 (11%)
unknown	3 (3%)
Patients with positive finding on CT of the brain, n (% of patients)	54 (54%)
<i>CT Findings, n (% of all CT findings)</i>	78 (100%)
Subdural hematoma (SDH)	5 (6.4%)
Epidural hematoma (EDH)	7 (9.0%)
Intraventricular hemorrhage (IVH)	2 (2.6%)
Subarachnoid hemorrhage (SAH)	1 (1.3%)
Pneumocephalus	3 (3.7%)
Skull Fracture	30 (38.5%)
Contusion	24 (30.8%)
Brain edema	6 (7.7%)
Neurosurgical intervention, n (%)	8 (8%)
Elevation of depressed skull fracture	2 (2%)
Duroplasty	2 (2%)
Elevation of depressed skull fracture + duroplasty	1 (1%)
Cranioplasty	1 (1%)
Craniectomy	1 (1%)
Burr holes	1 (1%)
Disposition, n (%)	97 (3%)
Discharged	82 (82%)
Died	4 (4%)
Transferred	2 (2%)
Absconded	12 (12%)

Table 2. Mechanism and location of pediatric head trauma presenting to MRRH.

	All patients (n= 100)	Children \leq 2 years (n= 10)
Primary causes of injury		
<i>RTI</i>	75 (75%)	7
<i>Fall</i>	6 (6%)	0
<i>Sports injury</i>	2 (2%)	0
<i>Intentional trauma</i>	10 (10%)	1
<i>Non-intentional Trauma</i>	5 (5%)	2
<i>Unknown</i>	2 (2%)	0
Location of injury		
<i>Home</i>	22 (22%)	6
<i>School/Childcare center</i>	4 (4%)	0
<i>Street</i>	60 (60%)	4
<i>Other</i>	3 (3%)	0
<i>Unknown</i>	11 (11%)	0
Location of parents/guardian		
<i>Home</i>	52 (52%)	4
<i>Garden/farm</i>	4 (4%)	1
<i>With child</i>	12 (12%)	5
<i>Other</i>	9 (9%)	0
<i>Unknown</i>	23 (23%)	0
Role if RTI		
<i>Pedestrian</i>	58 (77.3%)	4
<i>Cyclist</i>	5 (6.7%)	0
<i>Motorbike passenger</i>	9 (12.0%)	3
<i>Car passenger</i>	1 (1.3%)	0
<i>Unknown</i>	1 (1.3%)	3

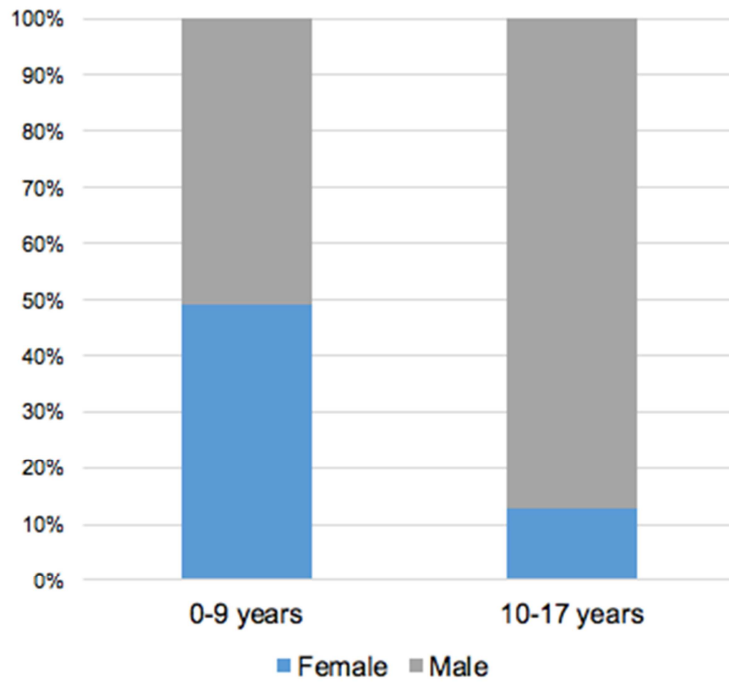
Figure 1. Gender of pediatrics patients presenting to MRRH, stratified by age group.

Figure 2. Mechanism of head injury among pediatric patients presenting to MRRH, stratified by age group.

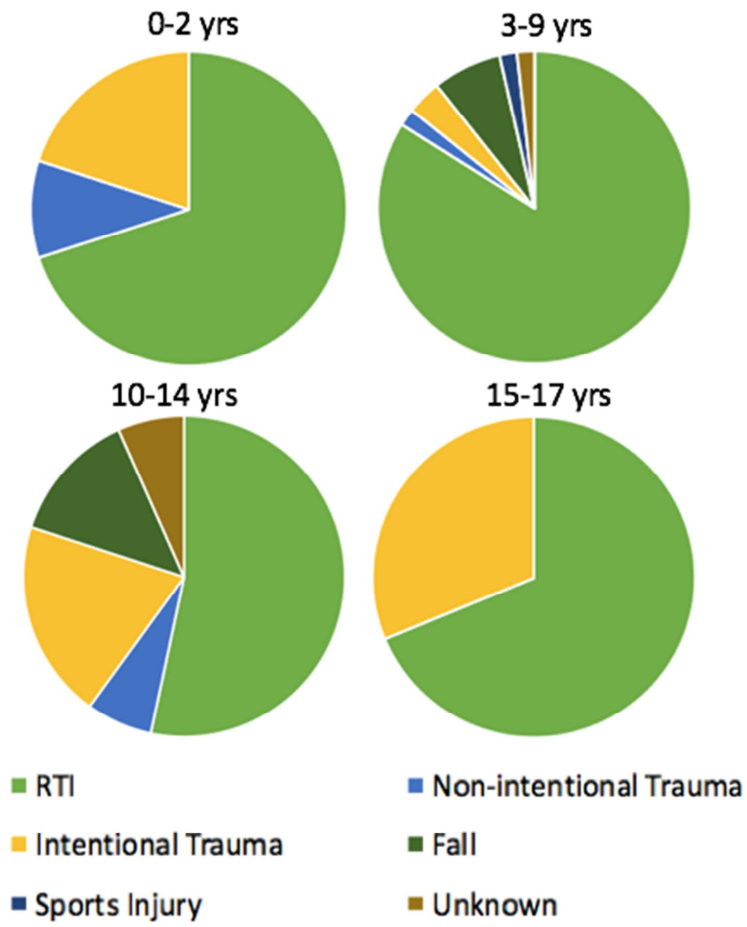


Figure 3. Patient role within road traffic incident and adult supervision status.

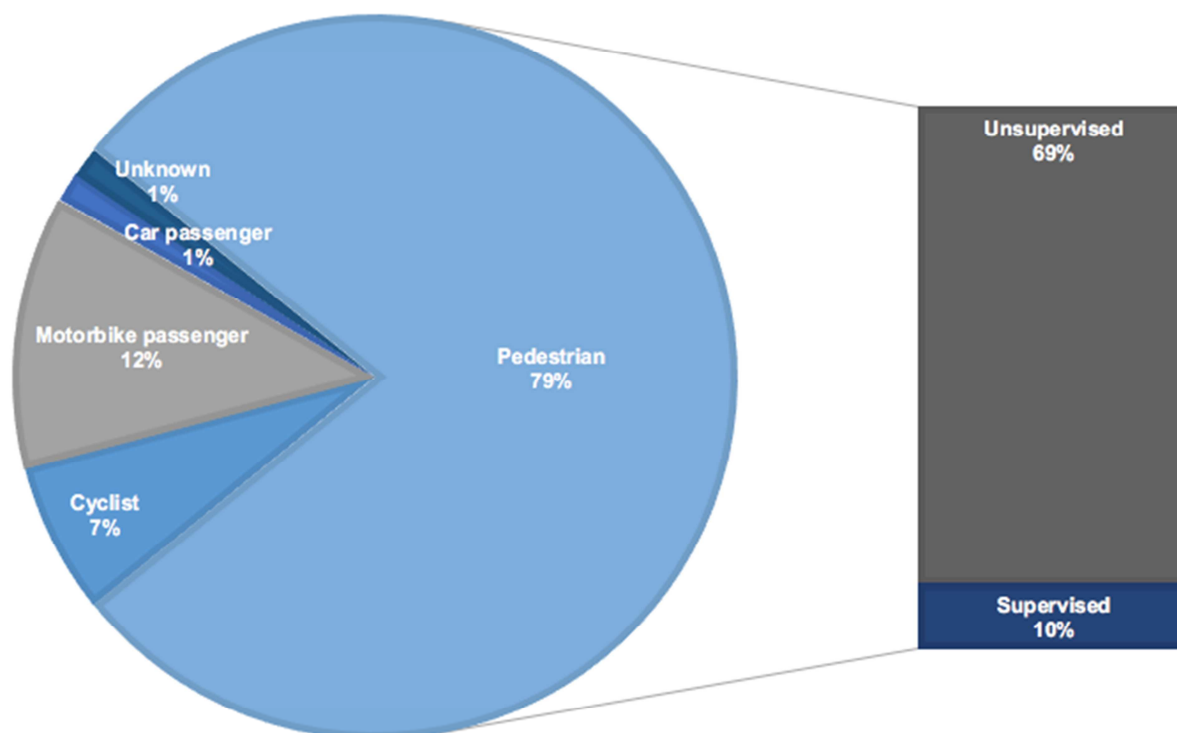
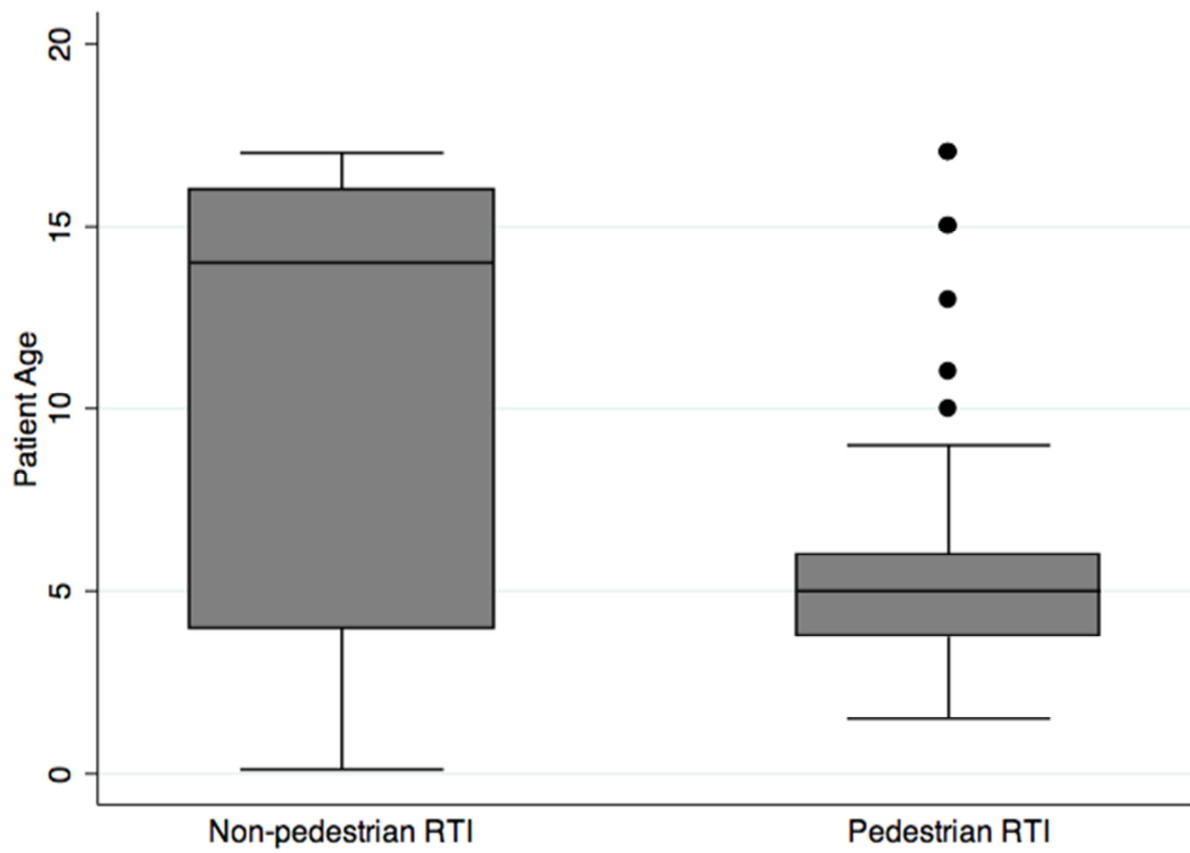


Figure 4. Distribution of patient ages after pedestrian vs non-pedestrian RTIs.



HIGHLIGHTS

- First LMIC study to investigate the circumstances surrounding pediatric head injury.
- The majority of pediatric neurotrauma patients are injured pedestrians.
- Caregivers were notably absent at the time of the child's injury.

ABBREVIATIONS

Accident & Emergency department (A&E)
Epidural hematoma (EDH)
Intraventricular hemorrhage (IVH)
Low- and middle-income country (LMIC)
Mbarara Regional Referral Hospital
Outpatient department (OPD)
Research Electronic Data Capture (REDCap)
Road traffic incident (RTI)
Subarachnoid hemorrhage (SAH)
Subdural hematoma (SDH)
Sub-Saharan Africa (SSA)
Traumatic brain injury (TBI)