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Causal impact of malnutrition on mortality among adults hospitalized for medical illness in sub-Saharan Africa: what is the role of severe sepsis?

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Abstract

Background: In sub-Saharan Africa, malnutrition is associated with mortality in adults hospitalized for medical illness. However, it remains unclear whether this association is causal, and if causal, what the potential mediators are. We assessed whether malnutrition is causally related to mortality among hospitalized adults, and whether severe sepsis plays a mediating role.

Methods: We analyzed data from a cohort study of adults hospitalized for any medical illness in Uganda. We measured malnutrition using mid-upper arm circumference (MUAC). We used a directed acyclic graph to identify a minimum sufficient adjustment set of confounders in order to estimate the overall effects of malnutrition on mortality. We then used recently developed statistical methods to determine whether mortality in malnourished patients is mediated by severe sepsis.

Results: We analyzed data of 318 adults. Median age was 37 (interquartile range [IQR] 27 to 56), and 25 % ($n = 80$) were malnourished according to MUAC. Malnourished patients were more likely to be HIV positive (64 % versus 39 %, $p < 0.001$), more severely ill (median MEWS 5, IQR 3 to 7 versus 4, IQR 2 to 6, $P = 0.003$), and to have both any sepsis (66 % versus 39 %, $p < 0.001$) and severe sepsis (51 % versus 20 %, $P < 0.001$) compared to normally nourished patients. After adjusting for the modified early warning score at admission, tuberculosis, HIV status, education status, age, and sex, malnourished patients remained at 3.0-fold (95 % CI: 1.5, 6.1, $P = 0.002$) increased odds of having severe sepsis at admission, and at 2.1-fold (95 % confidence interval [CI]: 1.2, 3.7, $P = 0.008$) increased odds of dying by 30 days post-admission. Only a small proportion of the effects of malnutrition on mortality were mediated by severe sepsis; overall, malnutrition increased the risk of death by 16.8 percentage points (95 % CI: 4.1, 29.4), but only 1.1 percentage points (95 % CI: -2.1, 4.4) absolute risk difference was mediated by severe sepsis.

Conclusion: Our data suggest that malnutrition increases mortality in adults hospitalized for medical illness; thus, interventions against malnutrition in this population may reduce mortality. As severe sepsis did not mediate a large proportion of the effects of malnutrition on mortality, future studies are recommended to investigate other potential mediators.

Keywords: Malnutrition, Hospitalized adults, Mortality, Severe sepsis, Causal impact, Mediation

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Background

In sub-Saharan Africa (SSA), mortality among adults hospitalized with medical illness is alarmingly high and deserves further investigation [1, 2]. In a recent study in Zambia, in-hospital mortality was about 60 % in patients admitted with severe sepsis [3]. There is urgent need for data identifying important risk factors for mortality and the mechanisms through which they cause death. Malnutrition and severe sepsis, both of which are common in the region, are two such risk factors [4, 5]. We, as well as other investigators, have previously shown that malnutrition at admission was associated with mortality in adults hospitalized for medical illnesses [4, 6, 7]. However, it remains unclear whether this association is causal.

Although not yet in common use in the region, emerging epidemiologic techniques, such as directed acyclic graph (DAG)-based causal analysis [8], have potential to improve our understanding of risk factors for mortality in this setting. DAG-based techniques are particularly appealing in sub-Saharan Africa, as mortality risk factors in individual patients rarely occur in isolation [9]. Such studies can also guide selection of interventions by determining mediators of observed mortality risk and comparing likely benefits from alternative intervention approaches [10].

The association between malnutrition and mortality in this setting could be due to confounding, especially by HIV infection and/or tuberculosis (TB), but possibly also by other chronic illnesses. However, there is reason to suspect a causal effect of malnutrition on mortality. Since malnutrition increases susceptibility to infection [11–14], malnourished patients may be at higher risk of infectious complications such as severe sepsis, which can lead to death [15]. Malnutrition could also increase mortality through hypoglycemia, hypothermia, and anemia [15–17]. Malnourished patients getting any severe illness may also do worse than those who are not malnourished [18].

We used a DAG to identify a minimum sufficient adjustment set of confounders in order to estimate the overall effect of malnutrition on mortality among adults hospitalized for any medical illness. We then used recently developed statistical methods to estimate how much of the overall effect of malnutrition on mortality is mediated by severe sepsis.

Methods

Setting and study population

We analyzed data from an observational cohort study of hospitalized adults. The study occurred on the general medicine ward of Mbarara Regional Referral Hospital in south-Western Uganda. The setting and study population have been described elsewhere [6]. All patients

being admitted for any medical illness during the study period (April to June 2011) were eligible for the study, which was set up to perform multiple nutritional assessments on each patient. However, those who were less than 18 (considered unable to provide informed consent) ($N = 34$) and those who declined to participate in the study ($N = 3$) were excluded. As we were able to perform the planned nutritional assessments on all consenting patients, no patient was excluded on this basis.

Ethical approval

All participants provided written informed consent. The study was approved by the Institutional Review Committee of Mbarara University of Science and Technology.

Measurement of malnutrition

For this report, we measured malnutrition at admission using the mid-upper arm circumference (MUAC). MUAC has been validated in adults for diagnosing malnutrition and shown to be highly correlated with the body mass index while predicting outcomes better [19–21]. MUAC is in general highly specific (up to 96 %), but can be poorly sensitive depending on the chosen cut-offs [22]. We used a non-stretchable MUAC tape to obtain MUAC as the circumference of either of the patient's arm at the midpoint between the olecranon and the acromion, with the patient seated or lying supine, and the rested arm flexed at 90° at the elbow. We defined malnutrition as a MUAC <19 cm for females and <20 cm for males [19].

Other predictor measurements

We defined sepsis as the presence of suspected infection plus 2 or more of the systemic inflammatory response syndrome (SIRS) criteria (pulse ≥ 90 beats/min; respiratory rate ≥ 20 cycles/min; a temperature ≥ 38 °C or ≤ 36 °C; and white blood cell count $\geq 12,000$ cells/cc or $< 4,000$ cells/cc); severe sepsis was defined as the presence of sepsis plus at least 1 organ dysfunction (Glasgow coma score [GCS] < 15, systolic blood pressure < 90 mmHg, mean arterial pressure < 70 mmHg, or platelet counts < 100,000 cells/cc) [23]. All temperature measurements were axillary. Diagnoses for infections and focus of infection were based on clinical suspicion and physical examination. We measured at admission, a random blood glucose (RBS) using a hand-held glucometer (Roche Diagnostics, Basel, Switzerland), and defined hypoglycemia as RBS < 4.5 mmol/l [24]. We also obtained a complete blood count (Beckman Coulter, Villepinte, France) defining (severe) anemia as a hemoglobin concentration < 8 g/dl in both males and females [25]. HIV status was determined using a standard 3-test rapid testing algorithm (Determine, Abbott Laboratories, Abbott Park, IL; Statpak, Chembio Diagnostics, Medford, New York; and Unigold, Trinity

Biotech, Bray, Ireland), and tuberculosis was defined as sputum smear positive pulmonary tuberculosis, or microscopically confirmed extra-pulmonary tuberculosis, or sputum smear negative tuberculosis as diagnosed through a consensus of at least two physicians [26]. All laboratory tests were performed at the Mbarara University Clinical Research Laboratory which participates in external quality assurance by the National Health Laboratory Service (Johannesburg, South Africa).

Severity of underlying illness

We measured severity of underlying illness using the Modified Early Warning Score (MEWS) which incorporates blood pressure, pulse, temperature, respiratory rate, and the neurological status at admission and strongly predicts mortality in hospitalized adults [27]. MEWS gives: 3 points for each of systolic blood pressure <70 mmhg, pulse rate ≥130 beats/min, respiratory rate ≥ 30 - cycles/min, and GCS score ≤8; 2 points for each of SBP 70–80 or ≥200, pulse 111–129, respiratory rate <9 or 21–29, temperature <35 or ≥38.5 Celsius, and GCS 9–13; and a point each for SBP 80–100, pulse 40–50 or 101–110,

respiratory rate 16–20, and GCS score of 14. The accrued total score can be incorporated into analyses, usually as a categorical variable [28].

Outcome

The outcome was 30-day mortality. All patients were followed in hospital to death or discharge, and after discharge, they were further followed using mobile telephone calls and out-patient clinic appointments to determine 30-day vital status.

Data analysis

We tested the association between malnutrition and patient characteristics at admission using chi-squared or Mann–Whitney-U tests as appropriate.

For the causal analysis, we identified confounders of the relationships between malnutrition, severe sepsis, and 30-day mortality using a directed acyclic graph (DAG) [8] (Fig. 1). In order to build the final DAG and the final adjusted model, we started by drawing a large conceptual framework including all variables that we considered to be associated with malnutrition, severe

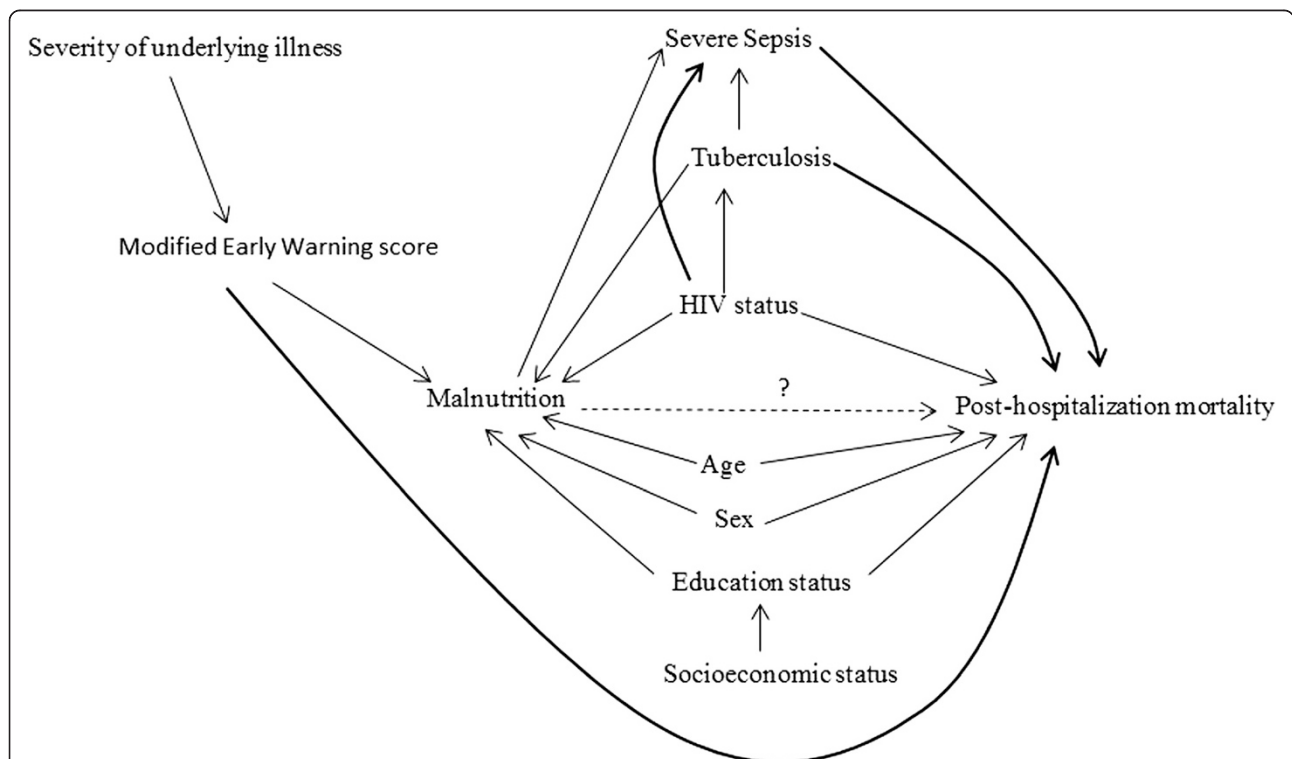


Fig. 1 A directed acyclic graph (DAG) for the causal effect of malnutrition on mortality. In this depiction, severe sepsis is a hypothesized mediator. We identified severity of underlying disease, tuberculosis, HIV infection, age, sex, and socioeconomic status as the minimum sufficient adjustment set of confounders that would require being adjusted for in order to estimate the overall effects of malnutrition on mortality. The direct effect of malnutrition on mortality is represented by the dashed line with a question mark. We hypothesized that mortality may occur through this pathway (through unknown/unmeasured mediators), or via an indirect pathway through severe sepsis. The severity of underlying illness and socioeconomic status are unmeasured constructs, which are represented by proxy measures; the modified early warning score and the education status, respectively

sepsis, and mortality, along with the directions of hypothesized relationships. From the large conceptual framework, we selected the final adjustment variables (shown in the DAG) based on their ability to qualify as confounders of the relationships between malnutrition, severe sepsis, and mortality. Variables which in the larger framework appeared to be ancestors of malnutrition (e.g., food intake) or of severe sepsis (e.g., sepsis) but with no hypothesized independent link to mortality, as well as variables which appeared to be mediators of the association of malnutrition with mortality (e.g., anemia, with the exception of severe sepsis which was the mediator of interest), were eliminated from the final DAG (Fig. 1) and were not included in the adjusted analysis. As severity of underlying illness and socio-economic status are unmeasured, we used the MEWS as a proxy for severity of underlying illness, and education status as a proxy for socioeconomic status.

We first used a logistic regression model adjusting for the confounders to estimate the overall causal odds-ratio for the effect of malnutrition on mortality. We then used the medeff package in Stata (Stata Corp, College Station Texas) to estimate the indirect effect of malnutrition mediated through severe sepsis as well as its direct effect via other pathways [29, 30]. In contrast to conventional logistic regression, this analysis summarizes effects as causal risk differences, with the interpretation of those differences being similar to the classical interpretation of risk comparisons on the additive scale [10, 31]. The medeff package uses repeated simulation of unobserved counterfactual values of the mediator and outcome to obtain point estimates and confidence intervals for the mediation effect, and is thus similar to some forms of bootstrapping. As required for the validity of mediation analyses, we adjusted for confounders of the effects of both malnutrition and severe sepsis on mortality, as identified in the DAG [32]. In addition, we tested for an interaction between malnutrition and severe sepsis, which is also recommended for mediation analyses [33]. On the hypothesis that relatively mild cases of sepsis are less likely to result in death in hospital settings [1], we focused on mediation of the effects of malnutrition by severe sepsis rather than any sepsis. In assessing possible positivity violations [33], we checked overlap of each covariate as well as propensity scores for malnutrition in the malnourished and normally nourished groups.

After missing data were obtained from source documents, some patients still had missing values on suspected tuberculosis (12) fewer on GCS (12), random blood glucose (26), hemoglobin (11), white cell counts (12) and platelet counts (12). Also, 20 patients who had been discharged alive from hospital were lost to follow-up before 30 days. In the primary analysis, after summarizing patient characteristics by nutritional status

(Table 1), we multiply-imputed missing predictor values; we run 40 repetitions and used predictive mean matching to impute numeric variables since they had skewed distributions. We then run the analyses on the 40 post-MI datasets while averaging the results [34]. In the primary analysis, we assumed all losses to follow up to be still alive at 30 days; to assess the sensitivity of our estimate of the overall effect of malnutrition on mortality to the losses to follow-up, we repeated the analysis after further imputing the missing outcomes [35, 36]. All analyses were performed in Stata 13.

Results

Population characteristics

We analyzed data from 318 patients. Their general characteristics have been described elsewhere [6]. Median age was 37 (interquartile range [IQR] 27 to 56), and 25 % ($n = 80$) were malnourished (by MUAC) at admission. Malnourished patients were more likely to be male (66 % versus 47 %, $P = 0.003$), HIV positive (64 % versus 39 %, $p < 0.001$), and more severely ill at admission (median MEWS 5, IQR 3 to 7 versus 4, IQR 2 to 6, $P = 0.005$) compared to normally nourished patients. Malnourished patients were also more likely to have both any sepsis (66 % versus 39 %, $p < 0.001$) and severe sepsis (51 % versus 20 %, $P < 0.001$) (Table 1).

Unadjusted associations between selected patient characteristics and mortality

Mortality at 30 days was 53 % in those with malnutrition, and 32 % in those without malnutrition. MEWS ≥ 6 (odds ratio [OR] 2.6, 95 % confidence interval [CI]: 1.5, 4.6), severe sepsis (OR 2.5, 95 % CI: 1.5, 4.1), and male sex (OR 1.8, 95 % CI: 1.1, 2.8), predicted mortality (Table 2).

Causal impact of malnutrition on mortality and mediation by severe sepsis

After adjusting for age, sex, education status, HIV status, tuberculosis, and MEWS, patients who were malnourished at admission remained at an estimated 2.1-fold increased odds of death (Adjusted Odds-Ratio [AOR] 2.1, 95 % CI: 1.2, 3.7, $P = 0.008$) within 30 days of admission. This was attenuated in the sensitivity analysis using multiple imputation to account for losses to follow-up (AOR 1.8, 95 % CI: 1.0, 3.1, $P = 0.048$).

Malnourished patients were at increased odds of being severely septic (AOR 3.0, 95 % CI: 1.5, 6.1, $P = 0.002$) at admission. However, the mediation analysis showed that only a small proportion of the overall effect of malnutrition on mortality was mediated by severe sepsis (Table 3). Specifically, malnutrition increased the absolute risk of death by an estimated 16.8 percentage points (95 % CI: 4.1, 29.4) overall, but only 1.1 percentage points (95 %

Table 1 Characteristics by Nutritional Status at Admission of 318 Adults Being Hospitalized for any Medical Illness at Mbarara Regional Referral Hospital in Uganda in April to June 2011

Characteristic	Malnutrition ^a present (N = 80)	Malnutrition absent (N = 238)	P value
Age, median (IQR)	38 (27 to 54) ^b	36 (27 to 56)	0.957
Sex male, n (%)	53 (66)	112 (47)	0.003
Education, n (%)			
None	28 (35)	76 (32)	0.613
Primary	43 (54)	116 (49)	0.438
Secondary and above	9 (11)	46 (19)	0.098
HIV-infected, n (%)	51 (64)	93 (39)	<0.001
Confirmed tuberculosis, n (%)	3 (3.8)	9 (3.8)	0.990
Sepsis, n (%)	53 (66)	93 (39)	<0.001
Severe sepsis, n (%)	41 (51)	47 (20)	<0.001
Temperature, median (IQR)	37.0 (36.4 to 38.4)	37.0 (36.5 to 38.3)	0.769
Respiratory rate, median (IQR)	26 (22 to 32)	25 (20 to 30)	0.277
Systolic blood pressure, median (IQR)	90 (85 to 100)	110 (100 to 120)	<0.001
Diastolic blood pressure, median (IQR)	60 (50 to 67)	70 (60 to 80)	<0.001
Pulse, median (IQR)	110 (90 to 120)	100 (80 to 116)	0.006
Glasgow coma scale \leq 14, n (%)	15 (19 %)	31 (13 %)	0.282
Admission diagnosis, n (%)			
Acute infection	24 (30)	73 (31)	0.910
Heart disease	5 (6.3)	14 (5.9)	0.905
Kidney disease	5 (6.3)	30 (12.6)	0.116
Other diagnosis	52 (65)	136 (57)	0.216
MEWS, median (IQR)	5 (3 to 7)	4 (2 to 6)	0.003
Hypoglycemia, n (%)	12 (15)	25 (10.5)	0.342
Anemia, n (%)	35 (44)	68 (29)	0.019
White cell count, median (IQR)	5.4 (3.2 to 6.2)	5.3 (3.1 to 7.2)	0.701
Platelet count, median (IQR)	158 (97 to 271)	172 (117 to 220)	0.709

^aMid-upper arm circumference <19 cm for females and <20 cm for males

^bMedian interquartile range unless otherwise specified

CI: -2.1, 4.4) absolute risk difference, was mediated by severe sepsis. In checking the assumptions of this analysis, we did not find evidence for interaction between malnutrition and severe sepsis ($P = 0.947$). Also, repeating the analysis while varying the number of simulations between 100 and 1000 did not lead to appreciable change in the proportion of effects mediated by severe sepsis.

Discussion

The high mortality rates among adults hospitalized for medical illness in SSA deserve concerted effort to identify points where interventions can make a difference. We used a DAG-based approach to investigate whether malnutrition affects mortality in adults hospitalized for medical illness in SSA, independent of HIV infection, tuberculosis, and severity of underlying illness, and whether this effect is mediated by severe sepsis. After

adjustment for these and other confounders as identified in the DAG, we found that malnutrition increased the risk of death within 30 days of admission by 16 percentage points. This translates into needing to treat or prevent malnutrition in 7 patients in order to prevent the death of 1 patient. Although malnourished patients had increased odds of being severely septic, only 9.3 % of the effect of malnutrition on mortality was mediated by severe sepsis. Our findings suggest that interventions to treat or prevent malnutrition in this population may reduce mortality and call for more studies to identify appropriate interventions.

A common definition of malnutrition has two pathophysiologic components: first, a deficiency of energy, protein, and micronutrients; and second, changes in bodily form and function [37, 38]. In general, this is where clarity ends [39–41]. Although the changes due to malnourishment are poorly understood, there is

Table 2 Unadjusted associations between selected risk factors and mortality among 318 adults being hospitalized for any medical illness at Mbarara Regional Referral Hospital in Uganda in April to June 2011

Variable	Odds ratio	95 % CI	P value
Malnutrition ^a	2.4	1.4, 4.0	0.001
MEWS			
6 to 11	2.6	1.5, 4.6	0.001
4 to 5	1.4	0.80, 2.6	0.225
0 to 3	Reference		
Severe sepsis	2.5	1.5, 4.1	0.001
Hypoglycemia ^b	1.9	0.97, 3.6	0.061
Confirmed TB	1.8	0.55, 5.6	0.339
Male sex	1.8	1.1, 2.8	0.017
Sepsis	1.4	0.91, 2.3	0.122
HIV infection	1.2	0.79, 2.0	0.348
Anemia ^c	0.87	0.53, 1.4	0.594
Education status			
None	0.68	0.35, 1.3	0.265
Primary	0.72	0.39, 1.4	0.306
Secondary or more	Reference		
Age ^d	1.02	0.90, 1.2	0.749

CI Confidence interval, MEWS Modified early warning score, TB Tuberculosis

^aMid-upper arm circumference < 20 cm/19 cm for males/females

^bRandom blood glucose at admission <4.5 mmol/l

^cHemoglobin <8 g/dl

^dPer 10 year increment

consensus that these changes eventually lead to bad clinical outcomes including mortality [42, 43]. Malnutrition can lead to immune suppression, possibly as a result of micronutrient or protein deficiency, hence increased susceptibility to infection [44, 45]. Protein deficiency can also lead directly to organ dysfunction [38, 46, 47]. Reliable data on how and whether these disorders eventually lead to death, especially in adults, remain scanty, but acting via organ injury/dysfunction is plausible [48].

Potential interventions to reduce mortality from malnutrition include replacement therapy for specific deficiencies [49], treatment or prevention of infections

Table 3 Estimates of the Effect of Malnutrition on 30-day Mortality and Mediation by Severe Sepsis Among 318 Adults Being Hospitalized for any Medical Illness at Mbarara Regional Referral Hospital in Uganda (April to June 2011)

Parameter	Risk difference (%) ^a	(95 % CI)
Total effect	16.8	4.1, 29.4
Direct effect	15.6	2.5, 28.8
Indirect effect	1.1	-2.1, 4.4

CI Confidence interval

^aAdjusted for HIV status, age, sex, education status, tuberculosis, and the modified early warning score (MEWS) at admission

[50], treatment or removal of an underlying cause such as tuberculosis, HIV, malignancy, or chronic alcoholism [51], and correcting other disorders in the patient's physiology or anatomy that may have occurred as a result of malnutrition [52, 53]. However, the treatment of adult malnutrition remains challenging, especially in resource-limited settings. In particular, interventions aimed at reducing mortality via direct replacement of presumed deficiencies, have yielded mostly negative results [54–56]. A recent Cochrane review of up to 14 trials found that in HIV-infected adults, neither supplementary whole food, nor provision of specific supplements such as protein or micronutrients reduced mortality [54]. Possible explanations for lack of effect from such interventions may include the targeting of wrong pathways, or failure to address more immediate causes of death or important co-existing disorders. These failures may also suggest that prevention of malnutrition might be a more effective approach than its treatment.

Although we did not find evidence of substantial mediation by severe sepsis of the effect of malnutrition on mortality in the present study, our results suggest that sepsis and severe sepsis are more common in malnourished patients. Future studies should continue to investigate this association. Future studies of malnutrition-related mortality can also investigate the mediating role of other variables such as multiple organ failure, hypoglycemia, and hypothermia, which may result from malnutrition [15] and have been associated with mortality [57]. Studies can also assess whether damage to tissues from malnutrition [48] may require independent interventions. Such studies have potential to identify supportive treatments that might yield survival benefit to patients with malnutrition.

Our study has some limitations. We were unable to establish 30-day vital status for 7.8 % of normally nourished patients and 2.5 % of malnourished patients. However, our sensitivity analysis using multiple imputations of the missing outcomes did not qualitatively alter our overall conclusions. Measurement of malnutrition is also complicated by absence of a gold standard and imperfect diagnostics. However, as MUAC has high specificity, its low sensitivity was expected to introduce minimal bias, given that measurement bias in a cohort study mostly depends on specificity [58]. Residual confounding of the effects of both malnutrition and severe sepsis may also arise from imperfect measurement of severity of underlying illness and tuberculosis. Also, diagnoses of infections, as well as focus of infection, were based on clinical suspicion and physical examination as confirmation of such diagnoses by laboratory or radiological means was not always possible due to resource-limitations in this setting. However, all clinical diagnoses were confirmed by more than one clinician, which may

increase their accuracy. Finally, we were unable to assess the association between malnutrition and mortality in further detail among patients with HIV infection due to sample size limitations. In particular, it would be important to assess whether among HIV-infected patients malnutrition remains associated with mortality even after adjusting for level of immunosuppression or whether this association varies by level of immunosuppression (for example, as measured by the CD4+ T-cell count). Future studies focusing on these questions are recommended.

Conclusions

In conclusion, malnutrition increased mortality in predominantly young and middle aged adults hospitalized for medical illness in sub-Saharan Africa. Interventions to treat or prevent malnutrition in this population may therefore reduce mortality. Although severe sepsis only very weakly mediated the effect of malnutrition on mortality, our results add substantially to the hypothesis that malnourished patients are more likely to have severe sepsis. We recommend future studies to further explore these pathways in order to guide effective interventions against mortality.

Abbreviations

AOR: Adjusted odds ratio; CI: Confidence interval; DAG: Directed acyclic graph; GCS: Glasgow coma score; IQR: Interquartile range; MEWS: Modified early warning score; MUAC: Mid-upper arm circumference; SSA: Sub-Saharan Africa; TB: Tuberculosis.

Competing interests

The authors have no conflicts of interest to declare.

Authors' contributions

SBA, conceptualized the study, prepared and analyzed the data, wrote and edited the manuscript. AA conceptualized the study, wrote and edited the manuscript. EV conceptualized the study, prepared and analyzed the data, and wrote and edited the manuscript. EV also provided mentorship to SBA on statistical methods related to this analysis. CM conceptualized the study, and wrote and edited the manuscript. All authors provided important feedback on the manuscript and approved its final version.

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